

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty) (PCT Article 36 and Rule 70)

Applicant's or agent's file reference H1040642WO01	<b>FOR FURTHER ACTION</b>	See Form PCT/IPEA/416
International application No. PCT/JP2005/005635	International filing date ( <i>day/month/year</i> ) 18.03.2005	Priority date ( <i>day/month/year</i> ) 30.03.2004
International Patent Classification (IPC) or national classification and IPC Int.Cl. G06Q10/00 (2006.01)		
Applicant HONDA MOTOR CO., LTD.		

1.	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2.	This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.
3.	This report is also accompanied by ANNEXES, comprising: <div style="margin-left: 20px;"> <p>a. <input checked="" type="checkbox"/> a total of <u>2</u> sheets, as follows:</p> <div style="margin-left: 20px;"> <p><input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p> </div> <p>b. <input type="checkbox"/> a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in electronic form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p> </div>
4.	This report contains indications relating to the following items: <div style="margin-left: 20px;"> <p><input checked="" type="checkbox"/> Box No. I Basis of the report</p> <p><input type="checkbox"/> Box No. II Priority</p> <p><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p><input type="checkbox"/> Box No. IV Lack of unity of invention</p> <p><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p><input type="checkbox"/> Box No. VI Certain documents cited</p> <p><input type="checkbox"/> Box No. VII Certain defects in the international application</p> <p><input type="checkbox"/> Box No. VIII Certain observations on the international application</p> </div>

Date of submission of the demand 26.01.2006	Date of completion of this report 07.06.2006
Name and mailing address of the IPEA/JP  <b>Japan Patent Office</b> 3-4-3, Kasumigasaki, Chiyoda-ku, Tokyo 100-8915, Japan	<div style="display: flex; justify-content: space-between;"> <div>Authorized officer <b>Koji Sugawara</b> Telephone No. +81-3-3581-1101 Ext. 3562</div> <div style="border: 1px solid black; padding: 2px; text-align: center;">5L 9460</div> </div>

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/JP2005/005635

## Box No. I Basis of the report

1. With regard to the
- language**
- , this report is based on:

- ☒ the international application in the language in which it was filed
- ☐ a translation of the international application into \_\_\_\_\_, which is the language of a translation furnished for the purposes of:
- ☐ international search (Rules 12.3(a) and 23.1(b))
- ☐ publication of the international application (Rule 12.4(a))
- ☐ international preliminary examination (Rules 55.2(a) and/or 55.3(a))

2. With regard to the
- elements**
- of the international application, this report is based on (
- replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*
- ):

- ☐ the international application as originally filed/furnished
- ☒ the description:
- pages 1-13 \_\_\_\_\_ as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the claims:
- Nos. \_\_\_\_\_ as originally filed/furnished
- Nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19
- Nos.\* 1-2 received by this Authority on 26.01.2006
- Nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the drawings:
- ~~sheets~~/figs 1-6 \_\_\_\_\_ as originally filed/furnished
- sheets/figs \* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- sheets/figs \* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

- ☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.

- 3.
- ☐
- The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (*specify*): \_\_\_\_\_

- 4.
- ☐
- This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/JP2005/005635

**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Claims	1-2	YES
	Claims		NO
Inventive step (IS)	Claims		YES
	Claims	1-2	NO
Industrial applicability (IA)	Claims	1-2	YES
	Claims		NO

2. Citations and explanations(Rule 70.7)

[ Cited document]

D1: US 2001/034611 A1 (NEC CORP.) 2001.10.25, paragraph 0046-0047, Fig.5  
& JP 2001-306753 A & WO 2003/036535 A2 & KR 2004-052243 A

[ Explanation]

Claims 1-2

The subject matter of claim 1 and 2 does not appear to involve an inventive step in view of the document 1 cited in the ISR.

D1 discloses the audit system which monitors the external environment (statutes, government orders, agreements, regulations and the like) for alternation. The system reflects the alternation to corresponding audit "regulation" (or creates new regulation). Since D1 discloses the audit system through the computer network, it is obvious to the person skilled in the art that the external environment includes external database systems.

Although D1 does not disclose the technical feature of deletion, it would be easily conceived by the person skilled in the art.

## CLAIMS

1. (Amended) An apparatus for supporting revision of a checklist for checking soundness of a company in accordance with revision of the laws and ordinances, the apparatus comprising:

a first database of a checklist containing check items for checking conformity by each of a plurality of departments of the company with the relevant laws and ordinances, said check items associated with names of the provisions of laws and ordinances,

a second database of laws/ordinances data including texts of provisions; and

a computer for supporting revision of the check list responsive to entry of revision of laws and ordinances; and

means for connecting said computer to an external database containing newest provisions of laws and ordinances for receiving revision information relative to the provisions of laws and ordinances;

said computer being configured to:

responsive to receipt of said revision information, determine whether the revision relate to the laws/ordinances stored in said second database;

if the determination is positive and the revision relates to deletion, delete from the checklist in said first database the name of the provision of the laws/ordinances identified by the revision information and delete relevant check item;

if the determination is positive and the revision relates to addition, add to the checklist in said first database the name of the provision of the laws/ordinances identified by the revision information and add to the checklist a check item associated with the added provision; and

if the determination is positive and the revision relates to updating, replace a relevant check item in the checklist associated with the updated provision of the laws/ordinances identified by the revision information with

the text of the updated provision; and

send signals via an intranet to the departments notifying the revision of the checklist.

- 5 2. (Amended) A computer implemented method of supporting revision of a checklist for checking soundness of a company in accordance with revision of the laws and ordinances, said checklist being stored in a first database and containing check items for checking conformity by each of a plurality of departments of the company with the relevant laws and ordinances, said  
10 check items associated with names of the provisions of laws and ordinances, texts of said relevant laws and ordinances being stored in a second database, the computer carrying out the steps of :

connecting the computer to an external database containing newest provisions of laws and ordinances and receiving revision information  
15 relative to the provisions of the relevant laws and ordinances stored in the second database;

if the revision information indicates deletion, deleting from the checklist the name of the provision of the laws/ordinances identified by the revision information and delete the relevant check item ;

20 if the revision information indicates addition, adding to the checklist the name of the provision of the laws/ordinances identified by the revision information and add to the checklist the check item associated with the revised provision ;

if the revision information indicates updating, replacing the relevant  
25 check item in the checklist associated with the revised provision of the laws/ordinances identified by the revision information with the text of the revised provision ; and

sending signals via an intranet to the departments notifying the revision of the checklist.